

2007/MAR/23/FRI 12:49 PM IP LEGAL - CLARIANT

FAX No. 704 331 7707

P. 001/005

Docket No. 2003DE119PatentIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re new U.S. patent application of : Mail Stop: Missing Parts  
 Heino HECKMANN et al. :  
 Serial No.: 10/560,095 :  
 Filed: 12/09/2005 :  
 For: Heterocyclic Colorants Based on Diazabenzosindoles

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RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION

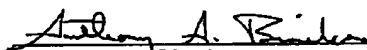
Mail Stop Missing Parts  
 Commissioner for Patents  
 P. O. Box 1450  
 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated January 26, 2007, submitted herewith for filing in the above-identified application is the executed Declaration. A copy of the PTO form is also enclosed.

The Commissioner is hereby authorized to charge the surcharge due (37 CFR 1.16(e)) in the amount of \$130.00 to Deposit Account No. 03-2060. The Commissioner is also authorized to charge any fee deficiency asserted to be paid, or which should have been paid herewith, or with any paper hereafter filed in this application and credit any fee overpayment to Deposit Account No. 03-2060.

Respectfully submitted,

  
 Anthony A. Bisulca  
 Attorney for Applicant  
 Registration No. 40,913

03/28/2007 MKAYPAGH 00000131 032060 10560095

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**(CUSTOMER NUMBER 25,255)**

Clariant Corporation  
 Industrial Property Department  
 4000 Monroe Road  
 Charlotte, NC 28205  
 Phone 704 331-7151  
 Fax: 704 331-7707

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a) and 1.10

I hereby certify that this correspondence is, on the date shown below, is being transmitted by facsimile to Centralized Facsimile Number, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Fax No. 571-273-8300 (5 pages)

Vicki L. Sgro: 

Date: March 23, 2007

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/560,095	FIRST NAMED APPLICANT Heino Heckmann	ATTY. DOCKET NO. 2003DE119
INTERNATIONAL APPLICATION NO. PCT/EP04/05459		
I.A. FILING DATE 05/21/2004	PRIORITY DATE 06/11/2003	

25255  
CLARIANT CORPORATION  
INTELLECTUAL PROPERTY DEPARTMENT  
4000 MONROE ROAD  
CHARLOTTE, NC 28205

CONFIRMATION NO. 9520

371 FORMALITIES LETTER

\*OC000000022166190\*

3-26-07  
Date Mailed: 01/26/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/09/2005
- Copy of the International Search Report filed on 12/09/2005
- Preliminary Amendments filed on 12/09/2005
- Information Disclosure Statements filed on 01/25/2006
- Request for Immediate Examination filed on 12/09/2005
- U.S. Basic National Fees filed on 12/09/2005
- Priority Documents filed on 12/09/2005
- Specification filed on 12/09/2005
- Claims filed on 12/09/2005
- Abstracts filed on 12/09/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

JAN 30 2007

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Total additional fees required for this application is \$130 for a Large Entity:

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- \$130 Surcharge.

MAR 23 2007

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/560,095	PCT/EP04/05459	2003DE119

FORM PCT/DO/EO/905 (371 Formalities Notice)

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